

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
16453

In Re Application Of: Colin Louis Masters, et al

SEP 14 2007
PATENT & TRADEMARK OFFICE

Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/552,258

August 25, 2006

Unassigned

23389

1614

6259

Title:

TREATMENT OF NEUROLOGICAL CONDITIONS

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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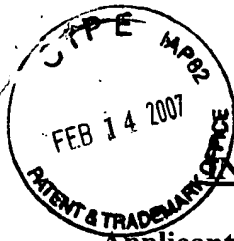
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Xiaochun Zhu
Signature

Dated: February 12, 2007

Xiaochun Zhu
Registration No. 56,311
Scully, Scott, Murphy & Presser, P.C.
400 Garden City Plaza, Suite 300
Garden City, New York 11530
516-742-4343

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Colin Louis Masters, et al. **Examiner:** Unassigned
Serial No.: 10/552,258 **Art Unit:** 1614
Filed: August 25, 2006 **Docket:** 16453
For: TREATMENT OF NEUROLOGICAL **Dated:** February 12, 2007
CONDITIONS

Confirmation No.: 6259

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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. United States Patent No. 6,001,852, dated December 14, 1999 to Gerolymatos;
2. PCT International Publication No. WO 2002/051415 A2, published July 4, 2002;
3. PCT International Publication No. WO 2001/096369 A1, published December 20, 2001;
4. European Patent Publication No. 0 995 743 B1, published April 2, 2003;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Dated: February 12, 2007


Xiaochun Zhu

5. Borek C., "Antioxidant Health Effects of Aged Garlic Extract", *Journal of Nutrition* 131(3s):1010S-1015S (2001); and
6. PCT International Publication No. WO 2003/084978 A1, published October 16, 2003.

The references were cited in an International Search Report dated June 4, 2004 received from the Australian Patent Office. Applicants are submitting copies of reference nos. 2-6 required by 37 C.F.R. §§1.98 (a)(2)(i) and (ii). A copy of the International Search Report was previously submitted by Applicants on October 3, 2005. The relevance of the above-identified references has been described in the International Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

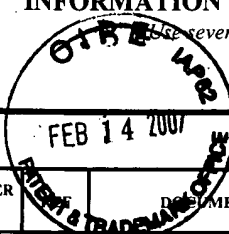


Xiaochun Zhu
Registration No. 56,311

Scully, Scott, Murphy & Presser, P.C.
400 Garden City Plaza, Suite 300
Garden City, New York 11530
(516) 742-4343

XZ:dg

INFORMATION DISCLOSURE CITATION <small>(Use several sheets if necessary)</small>				Docket Number (Optional) 16453		Application Number 10/552,258	
				Applicant(s) Colin Louis Masters, et al.			
				Filing Date August 25, 2006		Group Art Unit 1614	



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,001,852	12/14/99	Gerolymatos			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 2002/051415 A2	7/4/02	PCT				✓
		WO 2001/096369 A1	12/20/01	PCT			✓	
		0 995 743 B1	4/2/03	Europe				✓
		WO 2003/084978 A1	10/16/03	PCT			✓	

OTHER DOCUMENTS		<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
		Borek C., "Antioxidant Health Effects of Aged Garlic Extract", <i>Journal of Nutrition</i> 131(3s):1010S-1015S (2001)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.